

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 I.53(b))</i>	Attorney Docket No.	40736.0001	U.S. PTO 2154 10/695558
	First Inventor	Kent N. Johnson	
	Title	Gondola Railcar Construction	
	Express Mail Label No.	ER 463985660 US	



<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents</i>		<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450
<p>1. ■ Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. ■ Applicant claims small entity status. See CFR 1.27.</p> <p>3. ■ Specification [Total Pages / 17 /] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. ■ Drawing(s) (35 USC 113) [Total Sheets / 12 /]</p> <p>5. ■ Oath or Declaration [Total Pages / 2 /]</p> <ul style="list-style-type: none"> <li>a. ■ Newly executed (original or copy)</li> <li>b. □ Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i></li> <li>i. □ <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul> <p>6. □ Application Data Sheet. See 37 CFR 1.76</p>		<p>7. □ CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)</p> <ul style="list-style-type: none"> <li>a. □ Computer Readable Form (CRF)</li> <li>b. □ Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. □ CD-ROM or CD-R (2 copies); or</li> <li>ii. □ Paper</li> </ul> </li> <li>c. □ Statements verifying identity of above copies</li> </ul>
<b>ACCOMPANYING APPLICATION PARTS</b>		
<p>9. □ Assignment Papers (cover sheet &amp; document(s))</p> <p>10. □ 37 CFR 3.73(b) Statement □ Power of Attorney <i>(when there is an assignee)</i></p> <p>11. □ English Translation Document (<i>if applicable</i>)</p> <p>12. □ Information Disclosure Statement (IDS)/PTO-1449 □ Copies of IDS Citations</p> <p>13. □ Preliminary Amendment</p> <p>14. ■ Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. □ Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. □ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent</p> <p>17. ■ Other: <u>check: \$500</u></p>		

**18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:**

Continuation       Divisional       Continuation-in-part (CIP)      of the prior application No: / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number:	26712	OR	<input type="checkbox"/> Correspondence address below		
NAME	David L. Principe Hodgson Russ LLP				
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Name (Print/Type)	David L. Principe		Registration No. (Attorney/Agent)	39,336	
Signature			Date	October 28, 2003	

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Date of Deposit October 28, 2003

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

\_\_\_\_\_  
Name  
David L. Principe

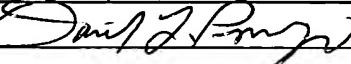
\_\_\_\_\_  
Signature

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**FEE TRANSMITTAL  
for FY 2004**

*Note: Patent Fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27.

<b>TOTAL AMOUNT OF PAYMENT</b> (\$500)		Application Number				
		Filing Date		October 28, 2003		
		First Named Inventor		Kent N. Johnson		
		Examiner Name				
		Group/Art Unit				
Attorney Docket Number		40736.0001				
<b>METHOD OF PAYMENT (check all that apply)</b>			<b>FEE CALCULATION (continued)</b>			
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None			<b>3. ADDITIONAL FEES</b>			
<input type="checkbox"/> Deposit Account: Deposit Account Number: <u>08-2442</u> Deposit Account Name: <u>Hodgson Russ LLP</u> The Director is hereby authorized to (check all that apply)			<b>Large Entity</b> Fee Code	<b>Small Entity</b> Fee Code	<b>Fee Description</b>	<b>Fee Paid</b>
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any fee deficiencies or credit any overpayments <input type="checkbox"/> Charge any additional fees during pendency of this application. <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account			1051      130	2051      65	Surcharge - late filing fee or oath	\$
			1052      50	2052      25	Surcharge - late provisional filing fee or cover sheet	\$
			1053      130	1053      130	Non-English specification	\$
<b>FEE CALCULATION</b>			1812      2,520	1812      2,520	For filing a request for <i>ex parte</i> reexamination	\$
<b>1. BASIC FILING FEE</b> <u>Large Entity</u> <u>Small Entity</u> Fee Code (\$) Fee Code (\$) Fee Description			1804      920*	1804      920*	Requesting Publication of SIR prior to Examiner Action	\$
			1805      1,840*	1805      1,840*	Requesting Publication of SIR after Examiner Action	\$
1001    770    2001    385 Utility filing fee	\$385	1251      110	2251      55	Extension for reply within first month	\$	
1002    340    2002    170 Design filing fee	\$	1252      420	2252      210	Extension for reply within second month	\$	
1003    530    2003    265 Plant filing fee	\$	1253      950	2253      475	Extension for reply within third month	\$	
1004    770    2004    385 Reissue filing fee	\$	1254      1,480	2254      740	Extension for reply within fourth month	\$	
1005    160    2005    80 Provisional filing fee	\$	1255      2,010	2255      1,005	Extension for reply within fifth month	\$	
<b>SUBTOTAL (1)</b>	<b>\$385</b>	1401      330	2401      165	Notice of Appeal	\$	
<b>2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE</b> <u>Extra Claims below</u> Total Claims /28/-20** = / 8/ x / 9 / =			<u>Fee Paid</u>	1402      330	2402      165 Filing a brief in support of an appeal	\$
			\$ 72	1403      290	2403      145 Request for oral hearing	\$
Independent Claims / 4/-3** = / 1/ x / 43 / =			\$ 43	1451      1,510	1451      1,510 Petition to institute a public use proceeding	\$
Multiple dependent / / x / / =			\$	1452      110	2452      55 Petition to revive - unavoidable	\$
<u>Large Entity</u> <u>Small Entity</u> Fee Code (\$) Fee Code (\$) Fee Description			1453      1,330	2453      665	1453      1,330 Petition to revive - unintentional	\$
			1501      1,330	2501      665	1501      1,330 10 advance copies Utility issue fee (or reissue)	\$
1202    18    2202    9 Claims in excess of 20	1502      480	2502      240	2502      240	Design issue fee	\$	
1201    86    2201    43 Independent claims in excess of 3	1503      640	2503      320	2503      320	Plant issue fee	\$	
1203    290    2203    145 Multiple dependent claim if not paid	1460      130	1460      130	1460      130	Petitions to the Commissioner	\$	
1204    86    2204    43 **Reissue independent claims over original patent	1807      50	1807      50	1807      50	Processing fee under 37 CFR 1.17(q)	\$	
1205    18    2205    9 **Reissue claims in excess of 20 and over original patent	1806      180	1806      180	1806      180	Submission of Information Disclosure Statement	\$	
<b>SUBTOTAL (2)</b>			<b>\$115</b>	8021      40	8021      40 Recording each patent assignment per property (times number of properties)	\$
<b>SUBMITTED BY:</b> David L. Principe Reg. No. 39,336			1809      770	2809      385	1809      770 Filing a submission after final rejection(37 CFR 1.129(a))	\$
<b>SIGNATURE</b> 			1810      770	2810      385	1810      770 For each add'l invention to be examined(37 CFR 1.129(b))	\$
DATE: October 28, 2003 Telephone: (716) 848-1262			1801      770	2801      385	1801      770 Request For Continued Examination (RCE)	\$
			1802      900	1802      900	1802      900 Request for Expedited Examination of a design application	\$
			Other fee (specify)			\$
			*Reduced by basic filing fee paid			<b>SUBTOTAL (3)</b> \$

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David L. Principe  
NameSignature October 28, 2003  
Date of Signature

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